THE THREE R'S (RECOGNIZING, REPORTING
AND RESPONDING PLUS ETHICS) IN CHILD
ABUSE AND TRAUMA
TASP
OCTOBER 10, 2015
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### **Learning Objectives**

- Review issues related to reporting
- Establish the important link between early trauma and poor behavioral and medical health outcomes via the ACEs study;
- Consider the finer distinctions between traumatic events and those that are negative, stressful, or unpleasant;
   Examine the utility of a "trauma lens" when considering both child and adult behavior as it relates to end treatment. development, diagnosis, assessment, and treatment;
- Compare and contrast innovative versus evidence-based treatments
- Contemplate the controversies
- Consider ethical issues

### **ACE Study** Vincent J. Felitti, MD R.F. Anda, M.D., et al. http://www.acestudy.org/ Felitti, V. J.MD, Anda, R. F., Nordenberg, D., Williamson, D.F., Spitz, A.M., Edwards, V., Mary P. Koss, M. P., Marks, J.S. (1998). Relationship of Childhood Abuse and Household dysfunction to many of the leading causes of death in adults: The adverse childhood experiences (ACE) study. American Journal of Preventive Medicine, 14 (4), 245-258.

### The Adverse Childhood Experiences (ACE) Study

- Examines the health and social effects of ACEs
- throughout the lifespan among 17,421 members
- of the Kaiser Health Plan in San Diego County

## Adverse Childhood Experiences Are Common

### **Household Dysfunction**

- Substance abuse 27%
- Parental sep/divorce 23%Mental illness 17%
- Battered mother 13%
- Criminal behavior 6%

#### Abuse:

- Emotional 11%Physical 28%
- Sexual 21%
- Neglect:
- Emotional 15%
  Physical 10%

### Adverse Childhood Experiences Score Trauma "Dose

Number of individual types of adverse childhood experiences were summed...

ACE score	Prevalence
0	33%
1	26%
2	16%
3	10%
4 or more	16%

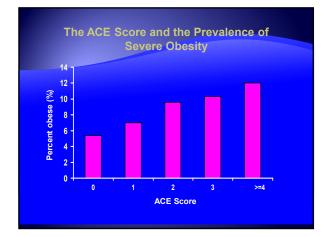
### Adverse Childhood Experiences as a National Health and Economic Issue

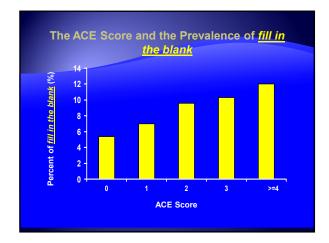
### ACEs have a strong influence on:

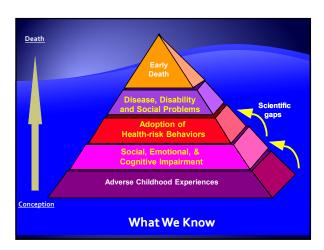
- -adolescent health
- -reproductive health
- -smoking
- -alcohol abuse
- -illicit drug abuse
- -sexual behavior
- -mental health
- -risk of revictimization
- -stability of relationships, homelessness
- -performance in the workforce

### ACEs increase the risk of

- Heart disease
- Chronic lung disease
- Liver disease
- Suicide
- Injuries
- HIV and STDs
- and other risks for the leading causes of death









### Stress, adverse events, and trauma—what's the difference?

- Stress—Can be positive or negative and depends on the context—e.g., giving this talk elicits stress which serves to motivate me to prepare
- Adverse experiences—can include trauma responses, but also include less than traumatic responses,
  - Separation
  - Homelessness
  - Family members attempting self-harmMental illness in the family
- Witnessing violence
- Trauma—an experience or threat which activates the fight or flight response

### Using the trauma lens

- Does not conflict with
  - A family-centered approach
  - A strength-based approach
  - A behavioral approach
- But without a trauma-informed approach, children may be misdiagnosed and receive inappropriate treatment and languish in a system where they are over-medicated as a form of behavioral control

### Using the trauma lens

- In Lubbock, the Children's Home of Lubbock began about four years ago making the transition to a trauma-informed system
- Done without:
  - Grant funding
  - My consultation initially
- Reductions
  - Medications
  - Hospitalizations

## Trauma informed vs. Evidence-based trauma treatment

### Focus: Child sexual abuse

- Often painful or threatening
- Often unpredictable
- Multiple, negative effects are well documented
- Chronic events tend to result in worse outcomes for the aggregate (though not always for the individual)

Recognition

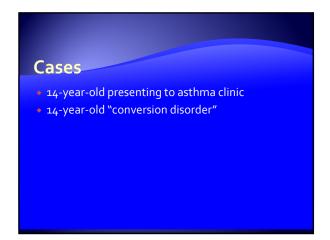








# Scope of the Problem Women in 4 women have been sexually abused in some form by age 18 Men in 6 men have been sexually abused in some form by age 18 (Finklehor, 1990)









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	 /ojjdp.ncjrs.gov/funding	y/evidencebasedrese	earch.html
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### **Evidence-based treatment**

- Control group
- Random assignment
- Can it be replicated; can someone be trained

### **Evidence-based assessment**

- Is it based on anything more than clinical judgment? (e.g., an interview, a mental status exam)
- Is it reliable? (Do you get the same answer if asked twice? Are answers to similar questions reliably answered? Do two trained people obtain the same conclusion/rating?)
- Is it valid? (Does it measure what it purports to measure?)

### Problem

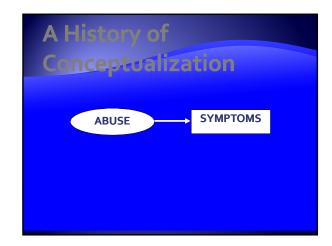
- Identification or "diagnosis" of sexual abuse in children is the initial step
- Sexually abused children rarely are screened to assess for trauma-related symptoms
- Even more rarely do they receive appropriate care

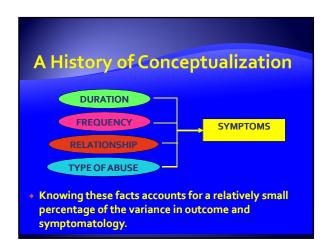
## TRAINING & ACCESS TO SERVICES

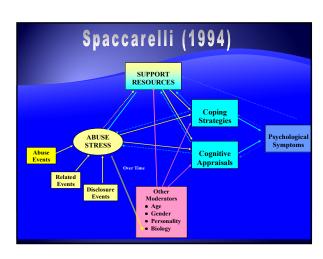
### **Presumptions**

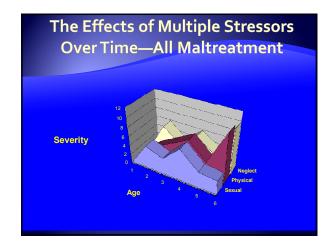
- Child sexual abuse is under-reported by children (in contrast to retrospective studies)
   Child abuse allegations are not offered spontaneously or even easily at a first interview
  - (Finkelhor et al., 1990; Ellis Gomes-Schwartz, 1990; Br Sorenea & Rone, 1991)
- "Clinical" populations are over-represented by children who have been abused
   Child abuse may result in any number of
- Child abuse may result in any number of diagnostic presentations, though no diagnostic presentation is pathognomonic for abuse
- Our views of trauma, symptoms, and etiological factors are entirely too simplistic

# The Conceptual Model Sexual Abuse

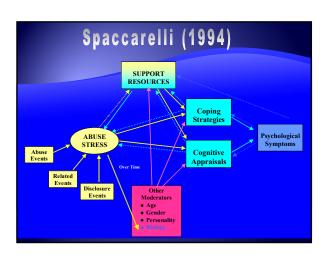


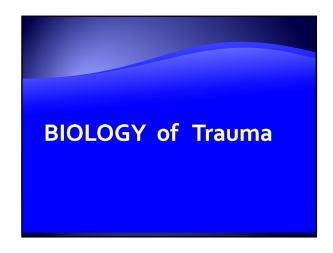


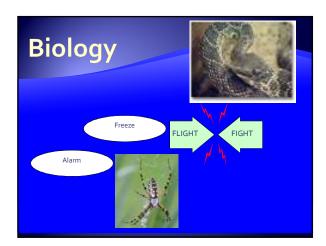












# Alarm Reaction(PTSD) Increase in sympathetic nervous system Theart rate Blood Pressure Respiration Released of stored sugar Muscle Tone Thypervigilance Tuning out non-critical information

### Stress Response (Dissociation)

- Decreased blood pressure
- Decreased heart rate
- Endogenous opiods



### Signs and Symptoms

Physical/Medical Indicators

- Enuresis
- Encopresis
- Abdominal pain
- Sexually transmitted diseases
- Recurring urinary tract infections
- Recurrent vaginal infections
- Pregnancy
- Conversion disorder or somatic complaints

### Signs and Symptoms

#### Behavioral Indications

- Self-destructive/Suicidal behavior (82%)
- Sleep/Bedtime difficulties
- Sexual acting out—especially in preschool and adolescent children
- Firesetting
- Running away
- Concentration
- Eating disorders among adolescents
- Substance abuse
- Anger

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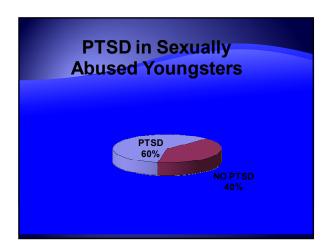
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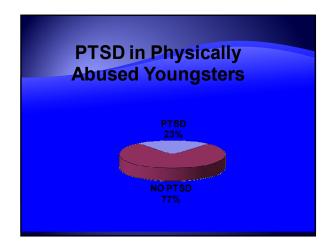
#### Behavioral Indications

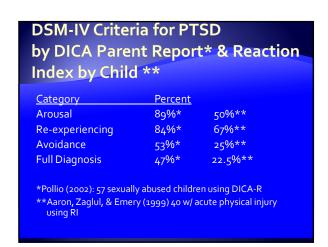
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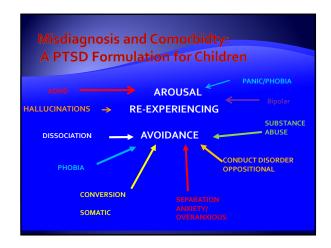
# Arousal (2) Re-Experiencing (3) Avoidant (3) Sleep Recollections Irritability Dreams Activities Concentration Seems to Recur Hypervigilance Symbols Startle Physiologic Startle Physiologic Affect Future



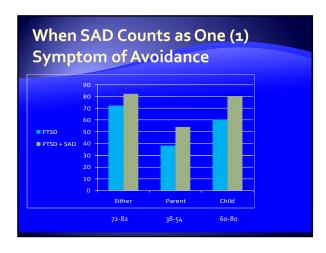










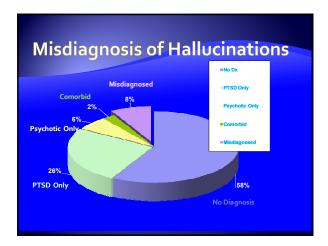


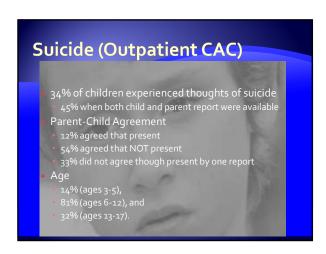






# With a 40 fold increase in the diagnosis of "Bipolar Disorder" in the last decade, a more careful study of the prevalence of mania should take place Developmentally sensitive criteria normed for children are essential This is especially true for abused children where a variety of behaviors may be a "rough index" of distress



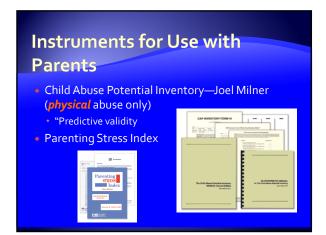




Evaluations vs. Testing ("Psychological")

**Assessment or Clinical Intake** 

**Screening** 



## "Simple PTSD" vs. Complex Trauma

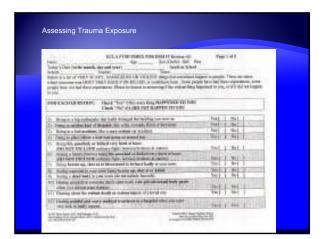
- PTSD plus
  - Affect dysregulation
  - Identity issues
  - Suicidality
  - Negative relationships
  - Anxiety, depression, anger
  - Dissociation
  - Tension reduction (cutting, bulimia, sex)
  - Substance abuse

### **Typical Reactions**

Trauma

Sexualized response

Behavioral problems and negative affectivity









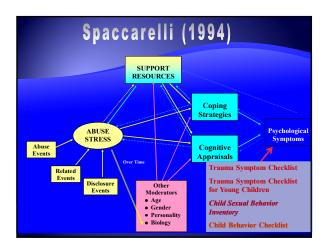


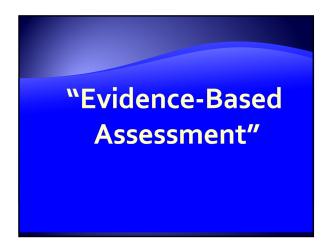


# Sexual Abuse Assessment: Psychological Testing There is no "psychological profile" for abuse victims Testing may serve to inform therapist about: Coping Current symptoms or discomfort Resources Problems ahead Testing may serve as a baseline

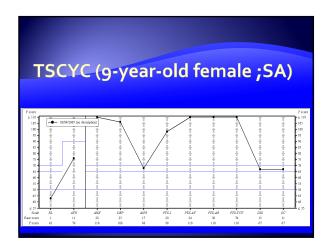
## Sexual Abuse Assessment: Psychological Testing

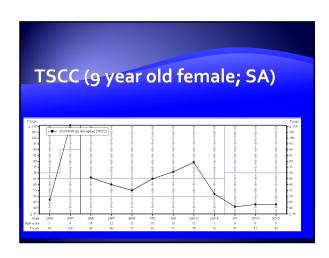
- Testing should be "depathologized"
- Testing should target typical sexual abuse effects
- Testing should take an approach that is:
  - Multitarget: General & abuse-specific
  - Multimethod: Self-report, parent, projective
  - Multisource: Self, parent, teacher



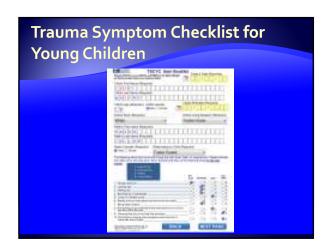


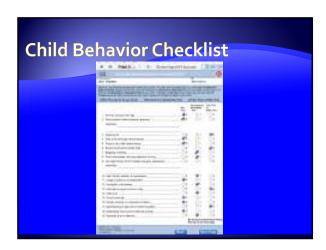


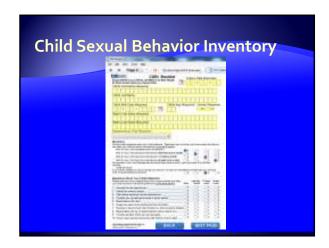














Reporting Child Abuse
<ul> <li>http://www.naccchildlaw.org/childrenlaw/document s/MandatoryReporting_ooo.pdf</li> </ul>
■ Mandated Reporters (48 states)
<ul><li>Social workers</li></ul>
<ul><li>School personnel</li></ul>
Health care workers
Mental health professionals
Childcare providers
Medical examiners or coroners
Law enforcement officers

### **Other Mandated Reporters**

- Commercial film or photograph processors (in 11 States and 2 territories),
- Substance abuse counselors (in 13 States), and
- Domestic violence workers (6 States)
- Members of the clergy (25 States)
- Approximately 18 States and Puerto Rico require all citizens to report suspected abuse or neglect, regardless of profession.
   (e.g., Kentucky)

### "Permissive Reporters"

 In all States, territories, and the District of Columbia, any person is permitted to report. These voluntary reporters of abuse are often referred to as "permissive reporters."

### **Standards for Reporting**

- When the reporter, in his or her official capacity, suspects or has reasons to believe that a child has been abused or neglected.
- Another standard frequently used is when the reporter has knowledge of, or observes a child being subjected to, conditions that would reasonably result in harm to the child.
- Permissive reporters follow the same standards when electing to make a report.

### **Phone Numbers**

- All States' Numbers
  - http://www.childwelfare.gov/pubs/reslist/rl\_dsp.cfm?rs\_id =5&rate\_chno=11-11172
- Call Childhelp USA, National Child Abuse Hotline (1-800-4-A-CHILD).
- Texas
  - Texas Toll-Free: (800) 252-5400
  - www.txabusehotline.org

### **Reporting Child Abuse**

- When must a mandatory child abuse reporter file a report?
  - Professionals often feel obligated to report, even if they do not believe abuse occurred
    - For example, abuse reported by other individuals
  - 49 states and District of Columbia do not require to report if the reporter does not believe there has been abuse
  - Report by the law and not out of confusion

### **Privileged Communication**

- Recognized
  - Attorney client
  - The clergy-penitent privilege is also widely recognized, although that privilege is usually limited to confessional communications and, in some States, is denied altogether.
- Unrecognized
  - The physician-patient and husband-wife privileges are most commonly denied by States.

### Forensic vs. Clinical Interviews

- Forensic interviews are for evidentiary purposes
  - Various schools of thought with regard to the nature of the interview and the qualifications of the interviewer
  - The science of interviewing is developing
  - At about age 4, interviews of children are valid
  - Level of training required: Extensive (the skills of a good clinical interviewer do not generalize to good forensic interviewing)

### 116 Confirmed Cases of Child Sexual Abuse (3 to 17 years)

80% Confession or Legal Plea

14% Criminal Conviction

6% Strong Medical Evidence

Sorenson and Snow (199

### Type of Initial Disclosure

26% Intentional 74% Unintentional

• Older Children were more likely to intentionally disclose

### **Initial Response**

11% Clear, Detailed Disclosure

17% Tentative Disclosure

72% Denial

Sorenson and Snow (1991

### **Eventual Response**

96% Clear, Detailed Disclosure

22% Recantation

93% Reaffirmed Disclosure



### Forensic vs. Clinical Interviews

#### Clinical Interviews

- Essential question: For treatment what and how would you ask about rape in a young adult?
- A clinical interview does not have to stand the scrutiny of court
- When you determine reasonable suspicion of CSA, refer to a child advocacy center

### **Use of Conjoint Interviews**

- Major concerns:
  - Worsen trauma for child
- Unwarranted inferences may be made based off of parent-child interaction
- No persuasive empirical evidence for their use

### Responding to a Subpoena

- Duces tecum: You must come with the record you need not produce it
- Your attorney (ouch!) may need to file a motion to quash the subpoena
- In camera review: Allows a judge to determine if there is anything relevant

### **Juvenile Sexual Offenders**

- Assessment
- Assessment

  Clinical

  Multi-source behavioral history
  Review of victim interviews, police records
  Interview of JSO and family
  Detailed sexual history after establishing the limits of confidentiality
  Psychological testing to assess specific target areas
  Jesness Inventory (conduct disordered)
  Multiphasic Sex Inventory for Adolescents
  Plethysmograph MAY be appropriate in older adolescents suspected of deviant arousal patterns (and used ethically and according to characteristics)
- Recidivism/Reoffense
   No actuarial system for adolescents

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-	

### **Juvenile Sexual Offenders**

- Treatment
  - No studies with random assignment to treatment vs. non-treatment, so cannot establish if treatment is effective
  - Does appear to be some impact though on recidivism

    - 5%-15% with an average of about 7%
       But 50% reoffend in a non-sexual way (some other crime)
  - Often the approach is to apply adult models to juveniles—no support

### **Adult Sex Offenders**

- Sexual assaults in general, and of children specifically, usually go unreported
  - When reported, 10% lead to arrests, and 8% lead to convictions
  - So, what we know is based on the 8%

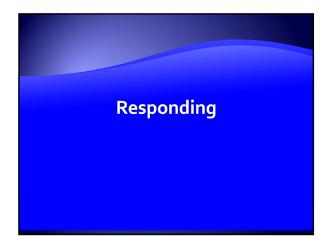
### Adult Sex Offenders

- Characteristics
  - 80-83% are males; 17-20% are females
  - There is no child molester profile
    - There is no test that identifies molesters
  - Psychopathy predicts recidivism (callous, exploitive, lacking guilt, lying, violent)
  - 30% abuse substances prior to abusive behavior
  - Groth (1979): Fixated and regressed

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# ■ Treatment ■ Cognitive behavioral + relapse prevention (triggers, warning signs, and plans to re-offend) ■ Acknowledgement + assessment ■ Address: Victim empathy, distorted thinking patterns, social skills, deviant arousal patterns, sex education ■ Surgical (physical castration) and pharmacological intervention (chemical castration) occasionally used with a subset □ Outcomes ■ Recent studies (since 1985) suggest that recidivism rates are reduced by treatment ■ 3-39% for treated offenders ■ 12.5%-57% for untreated

# Other Ethical Issues Confidentiality Record keeping Release of records



### **Treatment Considerations**

- Likelihood of multiple trauma history sexual abuse
- Importance of addressing externalizing problem e.g., sexual acting out
- Question of involvement of non-offending (but unsupportive) parent
- Foster parent apprehensions/biases/worries regarding sexual abuse history or possibility of inappropriate sexual behavior
- Addressing the urgent vs. the important

## Evidence-Based Treatments

Trauma-Focused Cognitive
Behavioral Therapy

Tony Mannarino & Indith Cohen

### **Core Components**

- <u>PSYCHOEDUCATION:</u> Providing <u>education</u> to <u>children</u> and <u>their caregivers</u> about the <u>impact</u> of trauma on children and common childhood reactions to trauma
- <u>STRESS MANAGEMENT:</u> Developing personalized stress management skills for children and parents
- AFFECTIVE EXPRESSION & MODULATION: Helping children and parents identify and cope with a range of experience
- COGNITIVE COPING: Teaching children and parents how to recognize the connections between thoughts, feelings and behaviors

### **Core Components**

- CREATING THE TRAUMA NARRATIVE:
  Encouraging children to share their traumatic
  experiences either verbally, in the form of a written
  narrative, or in some other developmentally
  appropriate manner.
- <u>COGNITIVE PROCESSING</u>: Modifying children's and parents' inaccurate or unhelpful trauma-related thoughts, and
- BEHAVIOR MANAGEMENT TRAINING: Helping parents develop skills for optimizing their children's emotional and behavioral adjustment
- PARENT CHILD SESSIONS: Helping children and parents talk with each other about the traumatic experiences

## Exposure of Children to Violence

- 20-50% of American children are victims of violence
  - Within their families
  - At school
  - In their communities

-	

Cognitive Behavioral Intervention for Trauma in Schools	
http://cbitsprogram.org/	
nttp.//cortsprogram.org/	
CBITS	
<ul> <li>Intended for</li> </ul>	
<ul><li>use with GROUPS of children</li><li>ages 11-15</li></ul>	
<ul><li>experiencing significant traumatic experiences</li><li>are suffering from PTSD or depression</li></ul>	
CBITS is NOT	
Recommended for use by teachers	
CBITS is	•
•For use by social workers, psychologists, school counselors	

### Screening of students is recommended

- UCLA PTSD Index
  - Child
  - Adolescent
  - Parent

### **Child Sessions**

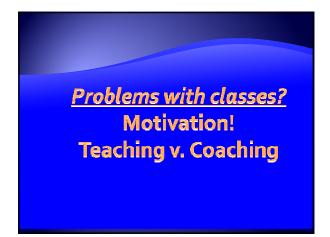
- Child Group PLUS Individual Sessions
   Group #1: Introduction, confidentiality, & orientation
   Group #2: Psychoeducation
- Individual Sessions—Relaxation training

  - Group #3: Thoughts & feelings
    Group #4: Combating negative thoughts
    Group #5: Avoidance & coping (fear hierarchy + alternative coping strategies)
    Group #6 & 7: Gradual exposure
    Group #8 & 9: Social problem-solving
    Group #10: Relapse prevention

### **Parent Sessions**

- Session #1: Psychoeducation
- Session #2: How we teach children to change their thoughts and actions







# Parent Child Interaction Therapy http://www.pcit.org/

# PCIT Seek to restructure interaction patterns between the parent and child The therapist intervenes based on direct observations Parent errors are corrected immediately (COACHING)

## Child-Directed Interaction DO Praise Reflect Imitate Describe Enthusiasm Child-Directed Interaction DON'T Give Commands Ask Questions Criticize

### Possibly Innovative versus **Risky Treatments**

- Eye Movement Desensitization and Reprocessing (EMDR) Rebirthing Therapy Trauma-Focused Cognitive-Behavioral Therapy (TF-CBT)

- Trauma-Focused Cognitive-Behavioral Ti
  Interpretive Play Therapy
  Thought Field Therapy
  Past-life regression therapy
  Neuro-Linguistic Programming
  Music
  Movement
  Yoga (breathing)
  Drumming
  Therapeutic massage
  Neurosequential Model of Therapeutics

### **Possibly Innovative versus Risky Treatments**

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  Therapeutic massage
  Neurosequential Model of Therapeutics

### **Questionable Therapies for Abuse**

### No data or poor outcomes

- Equine-assisted therapy
- Many forms of play therapy
- Nondirective therapies (e.g., psychoanalysis, cli ent-centered therapy)

### **Detrimental**

- Rebirthing therapy (10-year-old Candace Newmaker)
- Attachment therapy
- Holding therapy
- Over medication



### **Training Models**

- In order to develop skills, the following will not work:
  - Lectures
  - Conferences
  - Plenary talks

### **Training Models**

- Assumptions made by the public, agencies, foundations, and elected officials
  - Health care and allied health care trainees receive training in school to deliver effective assessment and treatment services
  - The reality
    - Medical school curriculum
    - Applied psychology curriculum
    - Nursing
    - LPC
  - Social work
  - Result: A haphazard patchwork of experiences—often without exposure to evidence-based approaches

### **Training Models**

- Need: An integrated curriculum across disciplines with specific skills (not facts) demonstrated, repeated, and rehearsed in training settings with supervision and consultation available as skills are taught over time (e.g., g-12 months)
- Current alternative: Learning collaboratives
- Imperative: Graduate and professional training

### Other Controversies

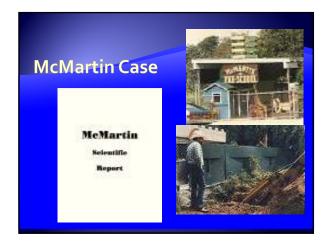
- Prevention of:
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  - Physical abuse
  - Neglect

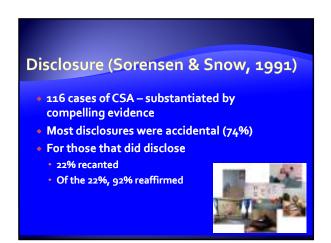
\*Chaffin, M. (2005). Response to letters. *Child Abuse & Neglect*, 29, 241-249.



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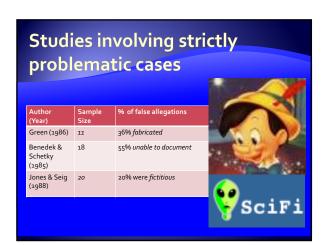




## Other Studies on Recantation (4-33%) CPS Cases Bradley and Wood (1996) 4% Crewdson (1998) 12% Faller (1998) 33% Jones and McGraw (1987) 8% Treatment Cases Gonzalez et al. (1993) 27%

## Delays in reporting do not nullify the validity of the allegation

- Smith et al. (2000) found that about half of rape victims raped at a mean age of 10, did not tell within the first year
- A majority of girls did not disclose to a trained interviewer even when there was unequivocal evidence (STD) (Lawson & Chaffin, 1992)



## Unsubstantiated & Intentionally False

- Third National Incidence Study (NIS-3; King et al., 2003)
  - 60% of cases were unsubstantiated
  - .o2% of SA cases in five states were intentionally false
- Canadian Incidence Study—1998 (CIS-98; Trocmé et al., 2001)
  - 33% of cases were unsubstantiated
  - 4% of all abuse cases were intentionally false

### Other Studies of Child Protective Cases Author(Year) Location Sample Size % of intentionall false allegations Oates et al. (2000) Australia 551 of SA 2.5% Trocmé et al. (1994) Ontario 2,447 child abuse & 2.5% neglect 576 of SA Jones & McGraw U.S. 6% (1987) Anthony & Watkins U.K. 350 of SA 8.5% (1991)

Author(Year)	Location	Sample Size	% of intentionally false allegations
Thoennes & Tjaden 1990)	U.S.	court cases	2% alleged SA .3% were intentionally false

Author(year)	Dubowitz (1992)	Adams (1994)	Kellogg (1998)	Pugno (1999)	Berenson (2000)	Heger et al. (2002)
Number	99	236	157	1,058	192	2,384
Vaginal Normal /nonspecific	62%	77%	85%	64.7%	97.5%	96.3%
Suspicious /Suggestive	10%	9%	12%			
Definitive	28%	14%	3%			
Anal Normal /nonspecific	65%	93%	100%			96.3%
Suspicious /Suggestive	35%	7%	0%			